



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Anderson, Gene R. *et al.*

Serial No.: 09/748,287

Group Art Unit: 2839

Filed: December 26, 2000

Examiner: Webb, Brian Scott

For: **Process For Coupling Optical
Elements To Optoelectronic Devices**

White & Case Docket No.: 1613370-0013

EXPRESS MAIL CERTIFICATE OF MAILING

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Date

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

In compliance with 37 C.F.R. § 1,56 and in accordance with 37 C.F.R. §§1,97 and 1.98, it is requested that the references listed on the attached Form PTO/SB/08A be made of record in this application. Copies of the references are enclosed.

The documents identified on the attached Form PTO/SB/08A include documents which are cited in the International Search Report International Application No. PCT/US01/43264 ("the PCT application") of which the above-referenced application is related to U.S. patent application 09/749,286. A copy of the International Search Report ("ISR") is included with this communication.

Applicants are not providing an English language equivalent for the documents listed below:

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1) EP0790678 entitled "Arrangement for optical coupling of a monitor diode to a laser diode," Applicant: Alsthom CGE Alcatel, published 08-20-1997;

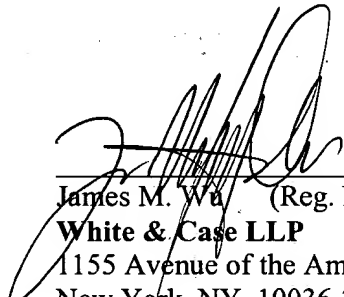
2) EP 0899592 entitled "Optical duplexer," Applicant: Robert Bosch, published 03-03-1999; and

3) JP 10126002 Applicant "Matsushita Electron Corporation", published 08-31-1998.

Documents 1 and 2 are published in the German language, document 3 is published in the Japanese language. All documents are listed on the enclosed Form PTO/SB/08A. The relevancy of these documents is indicated in the International Search Report on page 2.

Applicants believe that the fee connected with the filing of this Information Disclosure Statement is \$180.00 (fee transmittal is attached). However, in the event that additional fees are required, then such fees are hereby authorized to be charged to White & Case LLP Deposit Account 23-1703.

Dated: July 11, 2003


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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/749,287
				Filing Date	December 26, 2000
				First Named Inventor	Gene R. Anderson
				Group Art Unit	2839
				Examiner Name	Webb, Brian Scott
(use as many sheets as necessary)				Attorney Docket Number	1613370-0013
Sheet	1	of	1		

U.S. PATENT DOCUMENTS								
Examiner Initials *	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number-Kind Code ² (if known)						
		US-5,226,052		07-06-1993	Tanaka et al.			
		US-5,907,571		05-25-1999	Ogino et al.			
FOREIGN PATENT DOCUMENTS								
Examiner Initials *	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
			WO 97 25638 A3		07-17-1997	Siemens		
			WO 97 25641 A3		07-17-1997	Siemens AG		
			EP 0 790 678 A3		08-20-1997	Alcatel		
			JP 10 126002		08-31-1998	Matsushita		
			EP 0 899 592 A1		03-03-1999	Robert Bosch		
			EP 0 607 700 B1		08-25-1999	Sharp		
			WO 00 13051 A1		03-09-2000	Digital Optics		
			EP 0 974 856 A2, A3		11-02-2000	Honeywell		
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						
Examiner Signature					Date Considered			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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